

General

Title

Hospital-based inpatient psychiatric services: the percentage of patients admitted to a hospital-based inpatient psychiatric setting who are screened within the first three days of admission for all of the following: risk of violence to self or others, substance use, psychological trauma history and patient strengths.

Source(s)

Specifications manual for Joint Commission national quality measures, version 2016A. Oakbrook Terrace (IL): The Joint Commission; Effective 2016 Jul 1. various p.

Measure Domain

Primary Measure Domain

Clinical Quality Measures: Process

Secondary Measure Domain

Does not apply to this measure

Brief Abstract

Description

This measure is used to assess the percentage of patients admitted to a hospital-based inpatient psychiatric setting who are screened within the first three days of admission for all of the following: risk of violence to self or others, substance use, psychological trauma history and patient strengths.

This measure represents the overall rate. The following rates are also reported:

- Children age 1 through 12 years
- Adolescent age 13 through 17 years
- Adult age 18 through 64 years
- Older adult age greater than or equal to 65 years

Rationale

Substantial evidence exists that there is a high prevalence of co-occurring substance use disorders as well as history of trauma among persons admitted to acute psychiatric settings. Professional literature suggests that these factors are under-identified yet integral to current psychiatric status and should be assessed in order to develop appropriate treatment (Ziedonis, 2004; National Association of State Mental Health Program Directors [NASMHPD], 2005). Similarly, persons admitted to inpatient settings require a careful assessment of risk for violence and the use of seclusion and restraint. Careful assessment of risk is critical to safety and treatment. Effective, individualized treatment relies on assessments that explicitly recognize patients' strengths. These strengths may be characteristics of the individuals themselves, supports provided by families and others, or contributions made by the individuals' community or cultural environment (Rapp, 1998). In the same way, inpatient environments require assessment for factors that lead to conflict or less than optimal outcomes.

Evidence for Rationale

National Association of State Mental Health Program Directors (NASMHPD). Position statement on services and supports to trauma survivors. Alexandria (VA): NASMHPD; 2005.

Rapp CA. The strengths model: case management with people suffering from severe and persistent mental illness. London: Oxford University Press; 1998.

Specifications manual for Joint Commission national quality measures, version 2016A. Oakbrook Terrace (IL): The Joint Commission; Effective 2016 Jul 1. various p.

Ziedonis DM. Integrated treatment of co-occurring mental illness and addiction: clinical intervention, program, and system perspectives. *CNS Spectr*. 2004 Dec;9(12):892-904, 925. [66 references] [PubMed](#)

Primary Health Components

Psychiatric inpatients; admission screening (risk of violence to self or others, substance use, psychological trauma history, patient strengths)

Denominator Description

Psychiatric inpatient discharges (see the related "Denominator Inclusions/Exclusions" field)

Numerator Description

Psychiatric inpatients with admission screening within the first three days of admission for all of the following: risk of violence to self or others, substance use, psychological trauma history, and patient strengths

Evidence Supporting the Measure

Type of Evidence Supporting the Criterion of Quality for the Measure

A clinical practice guideline or other peer-reviewed synthesis of the clinical research evidence

One or more research studies published in a National Library of Medicine (NLM) indexed, peer-reviewed journal

Additional Information Supporting Need for the Measure

- Suicide is a major public health epidemic. According to the Centers for Disease Control and Prevention (CDC) the rates of suicide have not decreased in 100 years and remain the 11th leading cause of death in the United States. Ninety percent of those completing suicide have a psychiatric diagnosis at the time of death with depression and alcohol abuse most commonly noted. Over 80 million people in the United States are at risk for suicide due to mental illness and substance use disorders and about 30,000 Americans each year die by suicide. It is estimated that the cost to society in lost productivity each year is approximately \$11 billion.
- A defensive measure benefiting the patient is documentation of a suicide risk assessment. According to Hirschfeld & Russell (1997), many physicians and even mental health providers are hesitant to inquire about suicide with the fear of provoking the risk of suicide or likely due to discomfort discussing the topic. Assessment of suicide risk is an essential component to recognizing the problem and formulating the appropriate treatment plan for all patients acute enough to warrant inpatient level of care.
- McNeil et al. (2008) assert that violence risk assessment varies widely and frequently is not incorporated into training programs for psychiatric residents, leaving some patients at risk for violence to self and others. A crucial component of all risk assessment includes screening for suicidal and homicidal ideation.
- In the National Crime Victimization Survey for 1993 to 1999 which was conducted by the Department of Justice, the annual rate of nonfatal, job-related violent crime against psychiatrists and mental health professionals was 68.2 per 1,000 and 69.0 per 1,000 for mental health custodial workers as compared to 12.6 per 1,000 for workers in all occupations. According to Swanson (1994), the lifetime prevalence of violent behavior ranges from 16.1% for those with schizophrenia spectrum or a major affective disorder to 43.6% for those with a serious mental illness (SMI) with co-occurring substance use disorder (SUD).
- A review of 27 studies on patient violence performed by Johnson (2004) supports the need for a careful risk assessment to determine which patients are at risk for violent and aggressive behavior while in the inpatient psychiatric care setting. Recently The Joint Commission released a Sentinel Event Alert on preventing violence in the health care setting which reported 256 violent events from 1995 through June 2010 in the Sentinel Event Database resulting in patient assault, homicide or rape.
- SUD has been identified as a risk factor for violence. Those with co-occurring SUD and SMI who are non-compliant with medications in particular are susceptible to committing violent acts. The Substance Abuse and Mental Health Services Administration (SAMHSA) estimates that 4.6 million American adults have both SUD and mental illness. Additionally, unrecognized alcohol use disorder can result in the life threatening condition delirium tremens.
- Excessive use of alcohol and drugs has a substantial harmful impact on health and society in the United States. It is a drain on the economy and a source of enormous personal tragedy. In 1998 the economic costs to society were \$185 billion for alcohol misuse and \$143 billion was attributable to drug problems. Health care spending was \$19 billion for alcohol problems, and \$14 billion for drug problems. Nearly a quarter of one trillion dollars in lost productivity is attributable to substance use. It is therefore critical to screen for the joint presence of these two elements of Hospital-based Inpatient Psychiatric Services (HBIPS) 1 in order to develop a comprehensive approach to treatment.
- Co-occurring, unrecognized SUD also results in an increased risk of psychiatric relapse, poor medication compliance, violence to self and others and legal problems. Patients with SMI will often downplay or deny SUD; therefore, a timeline of past and present substance use should be performed during the initial screening process. Mallin et al. (2002) also note low rates of screening for SUD in depressed patients. The high rate of co-occurring SUD and mental illness points to the need for an initial screening identifying those patients with SUD in order to develop a comprehensive approach to evaluation and treatment for both disorders.
- Between 51% and 98% of public mental health patients have trauma histories. Lack of consistent screening contributes to under detection of trauma histories. Trauma when left untreated can result in negative patient outcomes such as hallucinations, depression, suicidal acts, anxiety, hostility,

dissociation and poor social skills and hospital readmission. Additionally, trauma victims are at an increased risk for substance use disorders, violence victimization, self-injury, serious social problems and premature death.

- The financial burden to society of undiagnosed and untreated trauma is staggering. The economic costs of untreated trauma-related alcohol and drug abuse were estimated at \$160.7 billion in 2000. Additionally, the cost to society of child abuse and neglect is \$94 billion annually, and for child abuse survivors, long-term psychiatric and medical costs reach \$100 billion annually.
- Alarming high rates of childhood trauma exposure, post traumatic stress disorder (PTSD) co-morbidity and current victimization exist among people with SMI treated in public sector settings. Statistics showed incest histories in 46% of chronically psychotic women on a hospital unit, and significant trauma exposure in 90% of patients in a multi-site program for co-morbid substance-abuse and mental illness. Only 35% of both groups of patients carried a diagnosis of PTSD.
- Although the high prevalence of significant psychological trauma among patients with serious and persistent mental illness is well known, and even where it is duly recorded in initial psychiatric histories, such trauma is rarely reflected in the primary (or secondary) diagnosis. A history of trauma, even when significant, generally appears only in the category of "developmental history", and thus does not become the focus of treatment.
- Assessment models based on patient deficits, rather than patient strengths, reduce patient motivation and portray the patient as weak and helpless rather than empowered, and run counter to the national Recovery Movement that has been a hallmark of patient advocacy and treatment engagement for the past decade. The strengths-based approach to case management has emerged over the past few years as a way to influence both the well-being and coping of patients with SMI. Lyons et al. (2000) examined the patient strengths model in 15 residential treatment centers for children and adolescents across Florida. Their findings also support the importance of strengths and the use of an integrated model incorporating both psychopathology and strengths as a part of the treatment plan. And finally, the patient strengths approach allows the clinician to systematically screen for the patient's survival skills, abilities, knowledge, resources and desires that can be used to help them reach their goals.
- When performing an initial psychiatric screening for patient strengths, cultural factors related to the psychosocial environment and the patient's level of functioning must be taken into consideration, as well as their available network system of support. Cultural factors may influence many aspects of mental illness, including how a patient from a given culture communicates, his or her style of coping, and his or her family and community supports when eliciting patient strengths.

Evidence for Additional Information Supporting Need for the Measure

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Extent of Measure Testing

Alpha testing was conducted during May and June 2006 at approximately 40 volunteer test sites to assess feasibility and data collection effort. A set of measures was recommended by the Technical Advisory Panel (TAP) to comprise the final test set addressing the domains of Assessment, Patient Safety and Continuity/Transitions of Care.

The Specification Manual for National Hospital Inpatient Quality Measures Hospital-Based Inpatient Psychiatric Services Test Set was finalized in September 2006. In late 2006 a total of 196 hospitals volunteered to participate in the Hospital-Based Inpatient Psychiatric Services (HBIPS) pilot test. Data collection for the test set began with January 1, 2007 discharges and continued throughout December 31, 2007.

During the first quarter of the pilot test, a subset of 39 hospitals was randomly selected to collect and transmit monthly hospital clinical data (HCD) to help assess data quality and data reliability. The data quality study continued with data collection and transmission for the 12 months of 2007. Feedback on data quality was provided to each performance measurement systems vendor submitting HCD.

The final phase of testing consisted of site visits to a sample of participating pilot hospitals to assess the reliability of data abstracted and reported by those hospitals. Reliability test site visits were conducted at 18 randomly selected pilot hospitals. Selection of the test sites was based on multiple characteristics, including hospital demographics, populations served, bed size and type of facility.

All of the HBIPS measures have undergone a rigorous process of public comment, alpha testing and broad-scale pilot testing and are recognized by the field as important indicators of hospital-based inpatient psychiatric care.

Evidence for Extent of Measure Testing

Domzalski K. (Associate Project Director, Division of Healthcare Quality Evaluation, Department of Quality Measurement. The Joint Commission. Oakbrook Terrace, IL). Personal communication. 2010 Nov 16. 1 p.

State of Use of the Measure

State of Use

Current routine use

Current Use

not defined yet

Application of the Measure in its Current Use

Measurement Setting

Hospital Inpatient

Professionals Involved in Delivery of Health Services

not defined yet

Least Aggregated Level of Services Delivery Addressed

Single Health Care Delivery or Public Health Organizations

Statement of Acceptable Minimum Sample Size

Specified

Target Population Age

All patients age one year and older

Target Population Gender

Either male or female

National Strategy for Quality Improvement in Health Care

National Quality Strategy Aim

Better Care

National Quality Strategy Priority

Making Care Safer

Person- and Family-centered Care

Institute of Medicine (IOM) National Health Care Quality Report Categories

IOM Care Need

Getting Better

IOM Domain

Patient-centeredness

Safety

Timeliness

Data Collection for the Measure

Case Finding Period

Discharges July 1 through December 31

Denominator Sampling Frame

Patients associated with provider

Denominator (Index) Event or Characteristic

Clinical Condition

Institutionalization

Denominator Time Window

not defined yet

Denominator Inclusions/Exclusions

Inclusions

Psychiatric inpatient discharges with *International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM) Principal or Other Diagnosis Codes* for mental disorders (as defined in the appendices of the original measure documentation)

Exclusions

Patients for whom there is an inability to complete admission screening for *Violence Risk, Substance Use, Psychological Trauma History* and *Patient Strengths* within the first 3 days of admission
Patients with a Length of Stay (LOS) less than or equal to 3 days OR greater than or equal to 365 days

Exclusions/Exceptions

not defined yet

Numerator Inclusions/Exclusions

Inclusions

Psychiatric inpatients with admission screening within the first three days of admission for all of the following: risk of violence to self or others, substance use, psychological trauma history, and patient strengths

Exclusions

None

Numerator Search Strategy

Institutionalization

Data Source

Administrative clinical data

Paper medical record

Type of Health State

Does not apply to this measure

Instruments Used and/or Associated with the Measure

- Hospital-Based Inpatient Psychiatric Services (HBIPS) Initial Patient Population Algorithm Flowchart
- HBIPS-1: Admission Screening for Violence Risk, Substance Use, Psychological Trauma History and Patient Strengths Completed Flowchart

Computation of the Measure

Measure Specifies Disaggregation

Measure is disaggregated into categories based on different definitions of the denominator and/or numerator

Basis for Disaggregation

This measure is disaggregated according to the following age groups:

Children age 1 through 12 years

Adolescent age 13 through 17 years

Adult age 18 through 64 years

Older adult age greater than or equal to 65 years

Data Reported As: Aggregate rate generated from count data reported as a proportion.

Scoring

Rate/Proportion

Interpretation of Score

Desired value is a higher score

Allowance for Patient or Population Factors

not defined yet

Standard of Comparison

not defined yet

Identifying Information

Original Title

HBIPS-1: Admission screening for violence risk, substance use, psychological trauma history and patient strengths completed.

Measure Collection Name

National Quality Core Measures

Measure Set Name

Hospital-Based Inpatient Psychiatric Services

Submitter

The Joint Commission - Health Care Accreditation Organization

Developer

The Joint Commission - Health Care Accreditation Organization

Funding Source(s)

All external funding for measure development has been received and used in full compliance with The Joint Commission's Corporate Sponsorship policies, which are available upon written request to The Joint Commission.

Composition of the Group that Developed the Measure

The composition of the group that developed the measure is available at:

<http://www.jointcommission.org/assets/1/6/HBIPS%20TAP%20Members.pdf>

Financial Disclosures/Other Potential Conflicts of Interest

Expert panel members have made full disclosure of relevant financial and conflict of interest information in accordance with the Joint Commission's Conflict of Interest policies, copies of which are available upon written request to The Joint Commission.

Endorser

National Quality Forum - None

NQF Number

not defined yet

Date of Endorsement

2016 Jun 10

Measure Initiative(s)

Quality CheckÂ®

Adaptation

This measure was not adapted from another source.

Date of Most Current Version in NQMC

2016 Jul

Measure Maintenance

Every 6 months

Date of Next Anticipated Revision

2017 Jan

Measure Status

This is the current release of the measure.

This measure updates a previous version: Specifications manual for Joint Commission national quality core measures, version 2015B. Oakbrook Terrace (IL): The Joint Commission; Effective 2015 Oct 1. 327 p.

Measure Availability

Source available from [The Joint Commission Web site](#) .

For more information, contact The Joint Commission at One Renaissance Blvd., Oakbrook Terrace, IL 60181; Phone: 630-792-5800; Fax: 630-792-5005; Web site: www.jointcommission.org

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NQMC Status

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Production

Source(s)

Specifications manual for Joint Commission national quality measures, version 2016A. Oakbrook Terrace (IL): The Joint Commission; Effective 2016 Jul 1. various p.

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